



North Devon Council

Report Date: Monday, 11 March 2024

Topic: Audit Recommendation Tracker

Report by: Chief Executive

1. INTRODUCTION

1.1. This is the regular progress report to the Committee in relation to action taken to address internal and external audit recommendations.

2. RECOMMENDATIONS

2.1. That the Committee note the actions that have been taken to address identified risk since the previous Governance Committee on 9 January 2024.

2.2. That the Committee raises any concerns arising from the list of outstanding actions on the Pentana system.

2.3. To approve the extension of time requests as set out in Appendices C and E of the report.

3. REASONS FOR RECOMMENDATIONS

3.1. To give assurance to the Committee that the recommendations are being actively managed via regular updates to the Pentana system and to give the Committee an opportunity to review any areas of concern.

4. REPORT

4.1. The Senior Management Team has reviewed the high and medium risk recommendations to assess progress and instigate any required actions.

4.2. Since the last meeting the number of actions on the Pentana system has risen to **1,482**.

4.3. With regards to progress against the tracking of the Annual Governance Statement, an annual review of North Devon Council's governance arrangements leads to the Annual Governance Statement, which forms part of the Statement of Accounts.

4.4. In addition to any other issues, the review captures recommendations from external and internal audit and inspections, which sets out the action plan. This plan is tracked through Pentana; Appendix E of the report.

5. RESOURCE IMPLICATIONS

5.1. Any resource implications will be addressed as part of actions updates on the Pentana system.

6. EQUALITIES ASSESSMENT

6.1. I have considered the protected characteristics within the Equalities Impact Assessment report and concluded that there was no impact.



7. ENVIRONMENTAL ASSESSMENT

7.1. None.

8. CORPORATE PRIORITIES

8.1. What impact, positive or negative, does the subject of this report have on:

- 8.1.1. The commercialisation agenda: Positive
- 8.1.2. Improving customer focus and/or: Positive
- 8.1.3. Regeneration or economic development: Positive

9. CONSTITUTIONAL CONTEXT

9.1. Save as provided in paragraph 9.2 below, the decision in respect of the recommendations in this report can be made by this Committee pursuant to delegated powers provided in Part 3 Annex 1 paragraph 5 of the Constitution.

10. STATEMENT OF CONFIDENTIALITY

This report contains no confidential information or exempt information under the provisions of Schedule 12A of 1972 Act.

11. BACKGROUND PAPERS

The following background papers were used in the preparation of this report: (The background papers are available for inspection and kept by the author of the report).

- (a) The Pentana system to generate the appendix from the live actions on the system.

12. STATEMENT OF INTERNAL ADVICE

The author (below) confirms that advice has been taken from all appropriate Councillors and Officers.