



North Devon Council

Report Date: Tuesday, 13 June 2023

Topic: Risk Management Model and Framework

Report by: Head of Governance

1. INTRODUCTION

1.1. Our Risk Management Strategy is made up of two key documents; the Risk Management Framework and Risk Management Model. These documents have been reviewed and updated in line with current best practice.

2. RECOMMENDATIONS

2.1. To approve the Risk Management Framework (appendix 1) and the Risk Management Model (appendix 2)

3. REASONS FOR RECOMMENDATIONS

3.1. The Framework and Model sets out our approach and principles for good Risk Management

3.2. General updates have been made to the Framework and a new risk escalation process has been added to the Risk Management Model.

3.3. The Governance Committee is responsible for ensuring proper arrangements exist for risk management.

4. REPORT

4.1. The Corporate Risk Management Group (CORGI) have updated the key risk management documents, in consultation with the Senior Management Team.

4.2. Internal audit have also reviewed both documents as part of the risk management audit in March 23. Their report stated that 'documents supporting the risk management process (Risk Management Framework and the Risk Management Model) were of good quality and explained the council's approach and appetite to risk. It also detailed how scoring is determined with regards to likelihood and impact. Roles, responsibilities, and actions were outlined as well as clear escalation lines for monitoring, reporting, and managing risks to the council. It also provides citation where resources have been used to create the document, this was evident when referencing the HMG Orange Book'

5. RESOURCE IMPLICATIONS

5.1. None.

6. EQUALITIES ASSESSMENT

6.1. Please detail if there are/are not any equalities implications anticipated as a result of this report. If so, please complete the Equality Impact Assessment



(EIA) Summary form available on Insite and email to the Corporate and Community Services Team at equality@northdevon.gov.uk.

7. ENVIRONMENTAL ASSESSMENT

7.1. Please undertake an Environmental Assessment and complete the checklist (EAC) form available on Insite. If there are no environmental implications arising from your proposals please state that there are none. If after completion of the assessment there are environmental implications please provide a brief summary. If you require any further information please contact the Sustainability and Climate Change Officer. Email completed EACs to donna.sibley@torridge.gov.uk

8. CORPORATE PRIORITIES

8.1 If the council understands the risks to achieving its corporate priorities, we can put in place effective mitigating controls, meaning goals are achieved, risks minimised, and opportunities taken.

9. CONSTITUTIONAL CONTEXT

- 9.1. Article of Part 3 Annexe 1 paragraph: 5(b)
- 9.2. Referred or delegated power? Delegated

10. STATEMENT OF CONFIDENTIALITY

This report contains no confidential information or exempt information under the provisions of Schedule 12A of 1972 Act.

11. BACKGROUND PAPERS

The following background papers were used in the preparation of this report: (The background papers are available for inspection and kept by the author of the report).

12. STATEMENT OF INTERNAL ADVICE

The author (below) confirms that advice has been taken from all appropriate Councillors and Officers.

Adam Tape, Head of Governance