



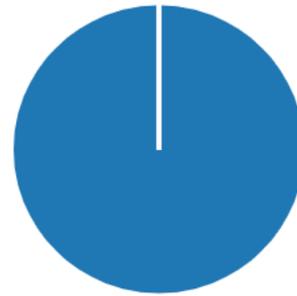
# Governance Survey

7 Responses

16:52  
Average time to complete

1. Does the Governance Committee have written terms of reference that are approved by the council and reviewed periodically?

● Yes	7
● No	0
● Don't know	0

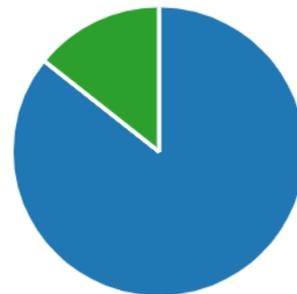


2. Additional comments / action

0 Responses

3. Has the Governance Committee been provided with sufficient membership, authority and resources to perform its role effectively and independently?

● Yes	6
● No	0
● Don't know	1



4. Additional comments / action

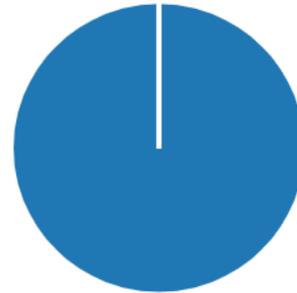
1  
Responses

Latest Responses

*"I would like to see further training for committee members"*

5. Does the Governance Committee make a formal annual report on its work and performance during the year to Full Council?

● Yes	7
● No	0
● Don't know	0

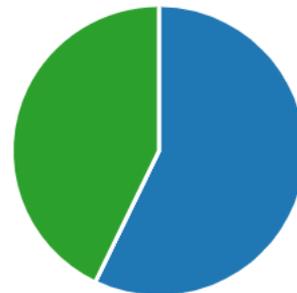


6. Additional comments / action

0  
Responses

7. Has the Governance Committee Chair either previous knowledge of, or received appropriate training on, financial and risk management, accounting concepts and standards, and the regulatory regime?

● Yes	4
● No	0
● Don't know	3

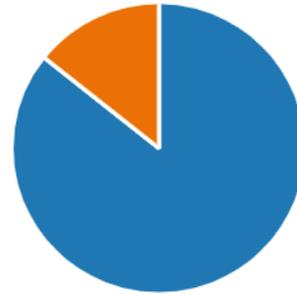


8. Additional comments / action

1 Responses

9. When you joined the Governance Committee were you provided with appropriate induction training?

● Yes	6
● No	1



10. Additional comments / action

1 Responses

Latest Responses

*"I have nothing to compare it with (KJ- selected No as answer compuls..."*

11. Have all Members' skills and experiences been assessed and training given for identified gaps?

● Yes	1
● No	3
● Don't know	3



12. Additional comments / action

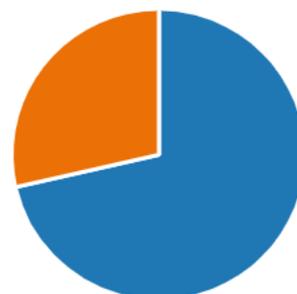
2 Responses

Latest Responses

*"I presume so (KJ- selected Yes as answer compulsory - and nothing tic..."*

13. Does each Member declare their business interests at each Committee meeting?

● Yes	5
● No	2



14. Additional comments / action

2 Responses

Latest Responses  
*"I would hope so"*

15. Are Members sufficiently independent of the Council's other key Committees?

● Yes	5
● No	1
● Don't know	1



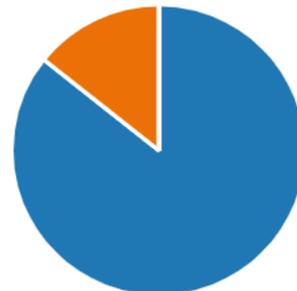
16. Additional comments / action

1 Response

Latest Responses  
*"How to distinguish? If a discipline matter comes up about an officer?"*

17. Are members attending meetings on a regular basis and, if not, is appropriate action taken?

● Yes	6
● No	1
● Don't know	0



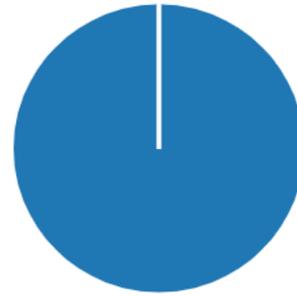
18. Additional comments / action

1 Response

Latest Responses  
*"If a member is not attending it should be asked why? maybe addition..."*

19. Are meetings free and open without political influences being displayed?

Yes	7
No	0

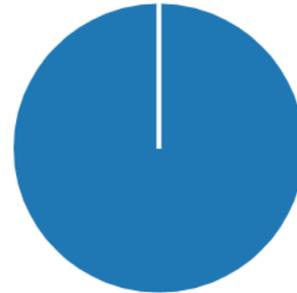


20. Additional comments / action

0 Responses

21. Does the authority's Section 151 officer or deputy attend all meetings?

Yes	7
No	0

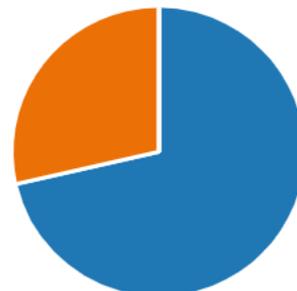


22. Additional comments / action

0 Responses

23. Does the Governance Committee consider how meaningful the Annual Governance Statement is?

Yes	5
No	2
Don't know	0



24. Additional comments / action

1 Response

25. Does the Governance Committee satisfy itself that the system of internal control has operated effectively throughout the reporting period?

● Yes	6
● No	1
● Don't know	0



26. Additional comments / action

1 Response

Latest Responses

*"no because there is not a full understanding"*

27. Has the Governance Committee considers how it integrates with other committees that may have responsibility for risk management?

● Yes	4
● No	1
● Don't know	2

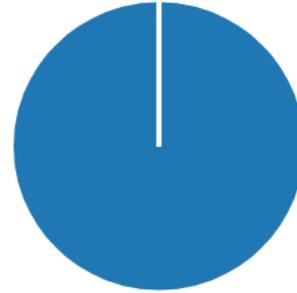


28. Additional comments / action

0 Responses

29. Has the Governance Committee (with delegated responsibility) or the Full Council adopted "Managing the Risk of Fraud - Actions to Counter Fraud and Corruption"?

● Yes	7
● No	0
● Don't know	0



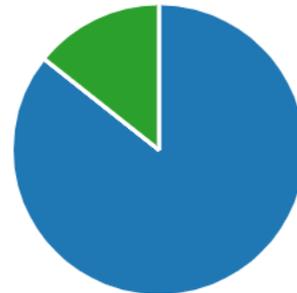
30. Additional comments / action

1 Responses

Latest Responses  
*"still concerned about fraud"*

31. Does the Governance Committee ensure that the "Actions to Counter Fraud and Corruption" are being implemented?

● Yes	6
● No	0
● Don't know	1

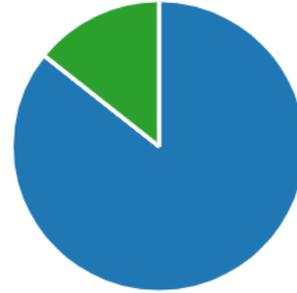


32. Additional comments / action

0 Response

33. Is the Governance Committee made aware of the role of risk management in the preparation of the Internal Audit Plan?

Yes	6
No	0
Don't know	1



34. Additional comments / action

0 Responses

35. Does the Governance Committee review the Authority's Strategic Risk Register at least every six months?

Yes	6
No	0
Don't know	1

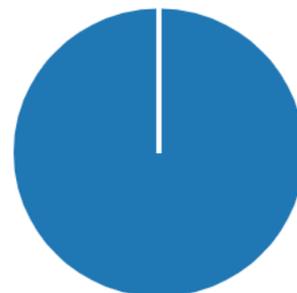


36. Additional comments / action

0 Responses

37. Does the Governance Committee monitor how the Authority assesses its risk?

Yes	7
No	0
Don't know	0

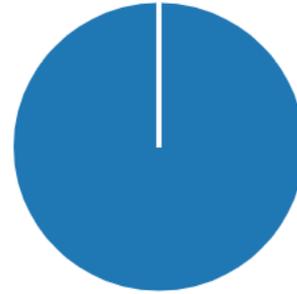


### 38. Additional comments / action

0 Responses

39. Does the Governance Committee consider specifically: • the suitability of accounting policies and treatments • major judgments made • large write-offs • changes in accounting treatment • the reasonableness of accounting estimates the narrative aspects of reporting?

● Yes	7
● No	0
● Don't know	0



### 40. Additional comments / action

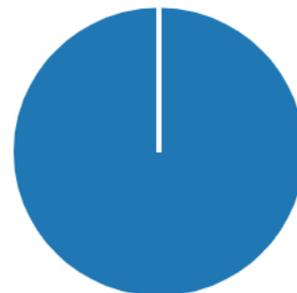
1 Responses

Latest Responses

*"however decisions, promises seem to be taken without consulting the ..."*

41. Is a Governance Committee meeting scheduled to receive the external Auditor's report to those charged with governance including a discussion of proposed adjustments to the accounts and other issues arising from the Governance?

● Yes	7
● No	0
● Don't know	0

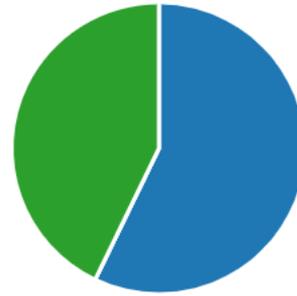


### 42. Additional comments / action

0 Responses

43. Does the Governance Committee review management's Letter of Representation?

Yes	4
No	0
Don't know	3



44. Additional comments / action

0 Responses

45. Does the Governance Committee have a mechanism to keep it aware of topical legal and regulatory issues, for example by receiving circulars and through training?

Yes	5
No	1
Don't know	1

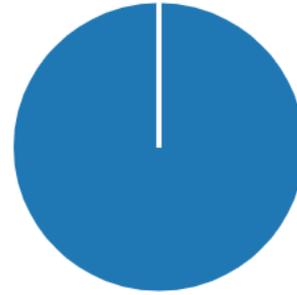


46. Additional comments / action

1 Response

47. Does the Governance Committee approve, annually and in detail, the internal Governance strategic and annual plans including consideration of whether the scope of internal Governance work addresses the authority's significant risks?

Yes	7
No	0
Don't know	0

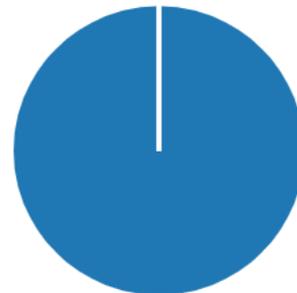


48. Additional comments / action

0 Responses

49. Does the Governance Committee receive periodic reports from the Internal Audit Service, including an annual report from the Head of Internal Audit?

Yes	7
No	0
Don't know	0

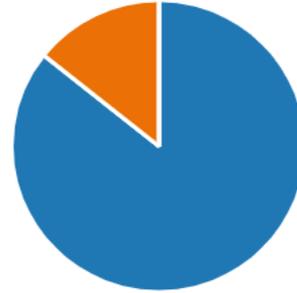


50. Additional comments / action

0 Responses

51. Are follow-up audits by internal audit monitored by the Governance Committee and does the Committee consider the adequacy of implementation of recommendations?

<span style="color: blue;">●</span> Yes	6
<span style="color: orange;">●</span> No	1
<span style="color: green;">●</span> Don't know	0



52. Additional comments / action

1 Response

Latest Responses

*"as internal audit has just need done, too early to say"*

53. Is there appropriate co-operation between the internal and external Auditors?

<span style="color: blue;">●</span> Yes	6
<span style="color: orange;">●</span> No	0
<span style="color: green;">●</span> Don't know	1



54. Additional comments / action

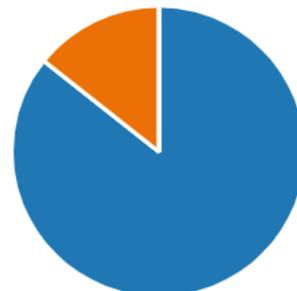
2 Responses

Latest Responses

*"don't know how to monitor"*

55. Are internal Audit performance measures monitored by the Governance Committee?

<span style="color: blue;">●</span> Yes	6
<span style="color: orange;">●</span> No	1
<span style="color: green;">●</span> Don't know	0

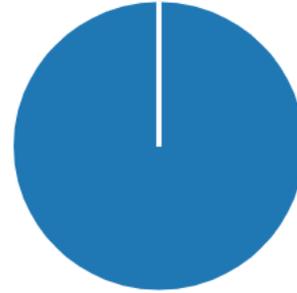


56. Additional comments / action

0 Responses

57. Has the Governance Committee considered the information it wishes to receive from internal audit?

● Yes	7
● No	0
● Don't know	0

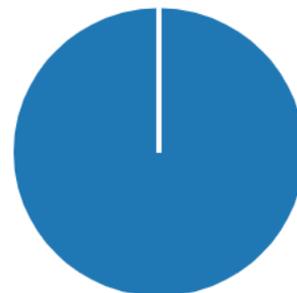


58. Additional comments / action

0 Responses

59. Do the external Auditors present and discuss their Governance plans and strategy with the Governance Committee (recognising the statutory duties of external Audit)?

● Yes	7
● No	0
● Don't know	0

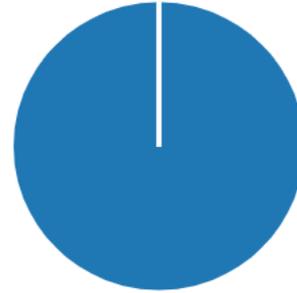


60. Additional comments / action

0 Responses

61. Does the Governance Committee review the external Auditor's annual report to those charged with governance?

Yes	7
No	0
Don't know	0

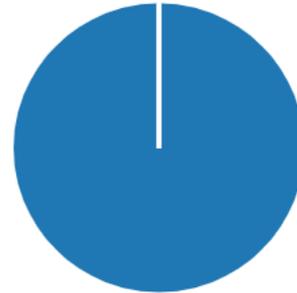


62. Additional comments / action

0 Responses

63. Are reports on the work of external audit and other inspection agencies presented to the committee, including the annual audit and inspection letter?

Yes	7
No	0
Don't know	0

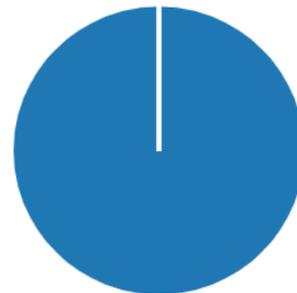


64. Additional comments / action

0 Responses

65. Does the Governance Committee assess the performance of External Audit?

Yes	7
No	0
Don't know	0

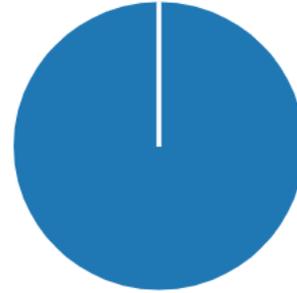


66. Additional comments / action

0 Responses

67. Does the Governance committee have a designated clerk from Corporate and Community Services?

- Yes 7
- No 0

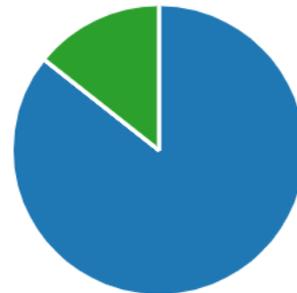


68. Additional comments / action

0 Responses

69. Does the Governance Committee plan one year ahead with a work programme to cover issues on a cyclical basis?

- Yes 6
- No 0
- Don't know 1

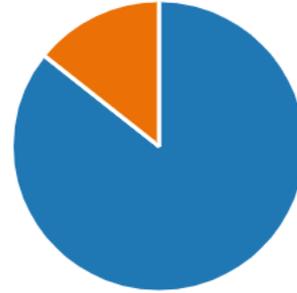


70. Additional comments / action

0 Responses

71. Do reports to the Governance Committee communicate relevant information at the right frequency, time, and in a format that is effective?

● Yes	6
● No	1



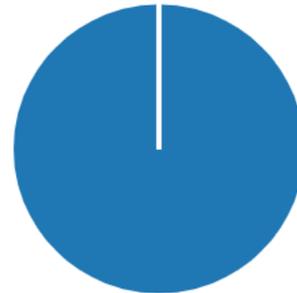
72. Additional comments / action

1 Response

Latest Responses

73. Are minutes prepared and circulated promptly to the appropriate people?

● Yes	7
● No	0
● Don't know	0



74. Additional comments / action

None

75. Please give details of any strategic or wide-ranging issues which the Governance Committee has considered in the past.

4 Responses

Latest Responses

*"encouraging policies and plans are complete with greater accuracy"*

*"service plans"*

76. Please give details of any strategic or wide-ranging issues which the Governance Committee should consider.

3 Responses

Latest Responses

*"challenge and help develop proposals on strategy"*

77. How do you think the Committee could tackle the problems?

2 Responses

Latest Responses

*"allocate each member with an area of interest and rigorous evaluatio..."*

78. How do you feel the Governance Committee has made a difference (to any issues)?

4 Responses

Latest Responses

*"given an impetus to services to complete reports and policies"*  
*"to be frank i feel it is very much in the background and although willi..."*

79. Are there any areas in which you believe the Committee has failed to deliver?

4 Responses

Latest Responses

*"Scrutiny of staffing matters which have failed to have been dealt with..."*  
*"no"*  
*"arrangements for corporate reporting, analysis of risk applied to proje..."*

80. How could this have been resolved or improved?

2 Responses

Latest Responses

*"Dedicated mechanisms for governance to step in where escalation of ..."*  
*"by making recommendations to other committees without fear of offe..."*

81. How would you improve the Committee and its work?

3 Responses

Latest Responses

*"n/a"*  
*"Independent board member. Be more entrepreneurial within realistic ..."*

